

PATENT PTO
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Attorney Docket No. KIRON 100 COND

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of

Kenneth Kiron and
Kevin S. Bander

Serial No.:

Filed: HEREWITH

Examiner:

Art Unit:

For: OPEN END MUTUAL FUND
SECURITIZATION PROCESS

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Name Kristine Callahan

(typed or printed)

Signature Kristine Callahan

Assistant Commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Please amend the above-identified application as follows:

IN SPECIFICATION:

On page 1, line 10 of the substitute specification insert --

CROSS REFERENCE TO RELATED APPLICATION

This application is a continuation of application serial number 08/542,431, filed October 12, 1995.--

IN THE CLAIMS:

Cancel Claim 2.

Please add the following new Claims 3-18.